

Electronic Acknowledgement Receipt

EFS ID:	5220324
Application Number:	10810012
International Application Number:	
Confirmation Number:	7758
Title of Invention:	Tracking account structure to support different leverage levels within an investment fund
First Named Inventor/Applicant Name:	James C. Houghton
Customer Number:	26285
Filer:	Mark G. Knedeisen/Mona Cornelia
Filer Authorized By:	Mark G. Knedeisen
Attorney Docket Number:	040150
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Application Type:	Utility under 35 USC 111(a)

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	Amendment_Transmittal.pdf	35668 c7a6fca18a99c79b4a5d25a961a18b240b66	no	4

Warnings:**Information:**

2		Response.pdf	47175 8f30f94c0d1c3c9b513028b0a1310bb4ebc08d07d	yes	12
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Multipart Description/PDF files in .zip description

Document Description	Start	End
Amendment/Req. Reconsideration-After Non-Final Reject	1	1
Claims	2	7
Applicant Arguments/Remarks Made in an Amendment	8	12

Warnings:**Information:**

3	Fee Worksheet (PTO-875)	fee-info.pdf	29831 3400f980363c5857b2ab006fa39144b0c9f0c12757	no	2
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Warnings:**Information:**

Total Files Size (in bytes):	112674
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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